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Patent  
Attorney's Docket No. 004501-541

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Andreas FABRI, et al. ) Group Art Unit: 2152  
Application No.: 09/833,729 ) Examiner: Unassigned  
Filed: April 13, 2001 )  
For: METHOD FOR SETTING UP A )  
COMMUNICATION LINK BETWEEN )  
AN EMBEDDED SERVER AND A )  
CLIENT COMPUTER )

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$ \_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Robert S. Swecker  
Registration No. 19,885

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: September 25, 2001



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Robert S. Swecker  
Registration No. 19,885

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620  
Date: September 25, 2001



00/071 US

ABB Power Automation AG  
BADEN / SWITZERLAND

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Andreas FABRI, Txomin NIEVA, Dominik AUF DER MAUR,

(inventors)

claiming Priority under 35 U.S.C. 119

of application No.: 00810322.8

in: EUROPE

with filing date of: 13 April 2000

U.S. Serial Number:

U.S. filing date:

Title: Method for setting up a communication link between an embedded server and a client computer

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Submitted herewith is a Search Report/Examination Report issued in a corresponding foreign patent application by the

European Patent Office (X)

German Patent Office ( )

( )

1. We wish to make of record the certain citations listed in the Search Report/Examination Report in accordance with 37 CFR 1.97.

2. The relevance of each listed item with regard to the different claims of the above-identified application according to the opinion of the Search Authority/Examination Authority, is indicated in the Search Report/Examination Report

by reference to certain categories which are explained on the Report sheet or in MPEP on page 1800 - 55, resp. (x)

3. The claims as filed in the above-identified U.S. patent application  
correspond to  (x)  
are different from  ( )

the claims for which the Search Report/Examination Report has  
been established.

4. Copies of the references cited are enclosed herewith.

All citations  (x)  
All relevant citations except U.S. patents  ( )

5. English translations of pertinent portions of any non-English references are  
enclosed herewith, if such translation is readily available.

6. No copies are enclosed herewith of references which are  
characterized by an "A" in the Search Report/Examination Report, since "A" indicates  
that such reference is not relevant for the invention.  ( )

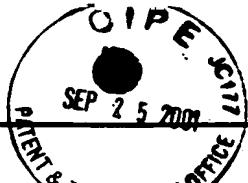
Additionally, copies of the references  
cited in the specification are enclosed.  (X)

Respectfully submitted,

Date: 14<sup>th</sup>. February 2001

by: 

Markus Müller



**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

ATTORNEY'S DKT No.  
004501-531

**APPLICATION NO.**  
**09/833,729**

**APPLICANT**

**FILING DATE**  
April 13, 2001

**GROUP  
2152**

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	R. Snell, "Web-Based Device Monitoring and Control", March 1999, pp. 283-294.		
	B. Venners, "Jini Technology, Out Of The Box", JAVAWorld, December 1988, pp. 1-4		
Examiner Signature		Date Considered	

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and \_\_\_\_\_  
not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.